

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
01SH-109196

In Re Application Of: Mike Jadon et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/824,851	April 14, 2004	Not yet assigned	30764	2186	6875

Invention: **DISK-ARRAY CONTROLLER WITH HOST-CONTROLLED NVRAM**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts



The fee of \$65.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1853
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-1853
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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Signature

Dated: August 27, 2004

**Brian D. Martin, Esquire**  
Registration No. 47,771  
Sheppard, Mullin, Richter & Hampton LLP  
333 South Hope Street, 48th Floor  
Los Angeles, California 90071  
(213) 620-1780

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CF. 1.8(a)] on  
(Date)

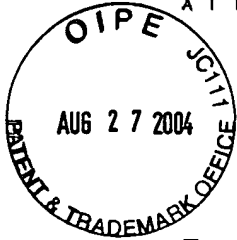
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213-620-1780 office | 213-620-1398 fax | [www.sheppardmullin.com](http://www.sheppardmullin.com)

**FACSIMILE COVER SHEET****\*\* THIS FACSIMILE TRANSMISSION WILL NOT BE MAILED \*\***Date: August 27, 2004File Number: 01SH-109196Total number of pages:  
(including 1-page cover sheet)

16

If all pages are not received, please call  
Sheppard Mullin at 213-620-1780, ext. 2155**TO:**

U.S. Patent and Trademark Office

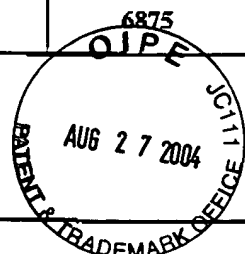
**Facsimile No.**


(703) 746-4060

**Telephone No.**From: Brian D. Martin, EsquireDirect Dial: 213-617-5415Re: Transmittal of Response to Notice to File Missing Parts**MESSAGE:** Please see attached.**Certificate of Transmission under 37 CFR 1.8**I hereby certify that this correspondence is being facsimile transmitted  
to the United States Patent and Trademark Office on August 27, 2004.  
Brian D. Martin, Esquire**Response to Notice to File Missing Parts of Application****Return Copy of Notice to File Missing Parts****Declaration****Assignment w/Recordation Form Cover Sheet**Applicants: Mike Jadon et al.Title: DISK-ARRAY CONTROLLER WITH  
HOST-CONTROLLED NVRAMSerial No: 10/824,851Filed: April 14, 2004Examiner: Not yet assignedGroup Art Unit: 2186Fee: \$65.00 & \$40.00 authorized to be charged to Deposit Acct.Our Docket No.: 01SH-109196Date Faxed: 08/27/04Client: Micro MemoryDate Due: 08/28/04Atty/Sec.: Martin/Kemp

NOTE: THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)</b>					Docket No. <b>01SH-109196</b>	
In Re Application Of: <b>Mike Jadon et al.</b>						
Application No. <b>10/824,851</b>	Filing Date <b>April 14, 2004</b>	Examiner <b>Not yet assigned</b>	Customer No. <b>30764</b>	Group Art Unit <b>2186</b>	Confirmation No. <b>6875</b>	
Invention: <b>DISK-ARRAY CONTROLLER WITH HOST-CONTROLLED NVRAM</b>						
<u>Mail Stop Missing Parts</u> <u>COMMISSIONER FOR PATENTS:</u>  This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>June 28, 2004</u> <i>Date</i>  Enclosed herewith for filing are the following:  <div style="margin-left: 20px;"><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) <input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63. <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. <input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. <input type="checkbox"/> _____ verified small entity declaration(s) <div style="margin-left: 40px;"><input type="checkbox"/> is/are attached. <input type="checkbox"/> was/were filed on _____</div> <input type="checkbox"/> A separate request for refund. <input checked="" type="checkbox"/> Other (list): <div style="border: 1px solid black; height: 100px; margin-top: 5px; padding: 5px;">Assignment</div></div>						



<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Small Entity)</b>				Docket No. <b>01SH-109196</b>	
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Application No. <b>10/824,851</b>	Filing Date <b>April 14, 2004</b>	Examiner <b>Not yet assigned</b>	Customer No. <b>30764</b>	Group Art Unit <b>2186</b>	Confirmation No. <b>6875</b>
Invention: <b>DISK-ARRAY CONTROLLER WITH HOST-CONTROLLED NVRAM</b>					
<u>TO THE COMMISSIONER FOR PATENTS:</u> <u>Mail Stop Missing Parts</u>					
<div style="float: right; text-align: center;"></div> <div style="clear: both;"></div> <p><input checked="" type="checkbox"/> Completion of application fees as calculated below:</p> <p><input type="checkbox"/> Utility application filing fee _____</p> <p><input type="checkbox"/> Design application filing fee _____</p> <p><input type="checkbox"/> Total number of independent claims = _____</p> <p><input type="checkbox"/> Total number of claims = _____</p> <p><input type="checkbox"/> Multiple dependent claims _____</p> <p><input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath <span style="float: right;"><b>\$65.00</b></span></p> <p><input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor _____</p> <p><input type="checkbox"/> Fee for processing an application filed with a non-English language specification _____</p> <p><input type="checkbox"/> Fee for processing and retention of application _____</p> <p style="text-align: right;">Total completion of application fees <span style="float: right;"><b>\$65.00</b></span></p> <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p> <p><input type="checkbox"/> One month    <input type="checkbox"/> Two months    <input type="checkbox"/> Three months    <input type="checkbox"/> Four months    <input type="checkbox"/> Five months</p> <p>from: _____ until: _____ <span style="margin-left: 100px;"><i>Date</i></span><span style="margin-left: 100px;"><i>Date</i></span></p> <p style="text-align: right;">Total time extension fees _____</p> <p style="text-align: right;">Total fees due <span style="float: right;"><b>\$65.00</b></span></p>					



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,851	04/14/2004	Mike Jadon	01SH-109196

30764  
 SHEPPARD, MULLIN, RICHTER & HAMPTON LLP  
 333 SOUTH HOPE STREET  
 48TH FLOOR  
 LOS ANGELES, CA 90071-1448

CONFIRMATION NO. 6875  
 FORMALITIES LETTER  
 \*OC000000013083884\*  
 \*OC000000013083884\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/31/2004 NROCHA1 00000010 191853 10824851

FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 DA

Filing Date Granted

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE